



FILE COPY

Receipt

35.C14930

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUL 24 2001

In re Application of:)
SHINGO HAYAKAWA) Examiner: Unassigned Technology Center 2600
Application No.: 09/714,266) Group Art Unit: 2612
Filed: November 17, 2000)
For: OPTICAL LOW-PASS FILTER,)
AND IMAGE SENSING UNIT)
AND APPARATUS USING THE)
SAME) April 19, 2001

Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicant's representative is in receipt of the official Filing Receipt for the above-identified application.

The Filing Receipt lists only one application under "Foreign Applications". However, in the Application Data Sheet submitted with the originally filed application papers, Applicant listed and claimed priority to two foreign applications.

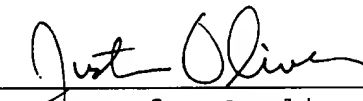
Accordingly, Applicant requests that a corrected Filing Receipt be issued that recites information regarding the foreign priority application not presently listed on the

Filing Receipt, namely, Japanese Patent Application No. 11-330178 (filed November 19, 1999), as shown on the attached marked-up copy of the Filing Receipt.

A copy of the originally filed Application Data Sheet and the stamped postcard receipt, indicating the U.S. Patent and Trademark Office's receipt of the same, are enclosed.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

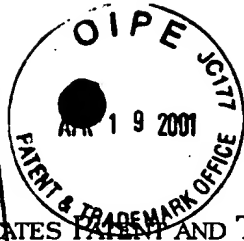
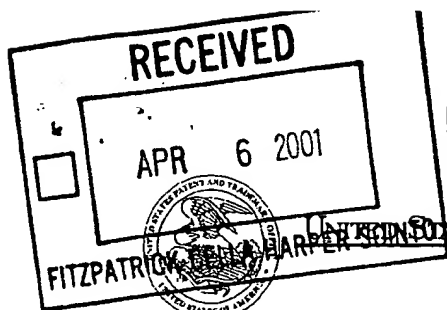
Respectfully submitted,



Attorney for Applicant
Registration No. 44,986

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

JJO/tmm



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/714,266	11/17/2000	2612	1168	35.C14930	15	41	3

CONFIRMATION NO. 5165

05514
FITZPATRICK CELLA HARPER & SCINTO
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

FILING RECEIPT



OC000000005925704

Date Mailed: 04/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shingo Hayakawa, Yokohama-shi, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-150453 05/22/2000

JAPAN 11-330178 (November 19, 1999)

If Required, Foreign Filing License Granted 03/29/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Optical low-pass filter, and image sensing unit and apparatus using the same

Preliminary Class

348

RECEIVED

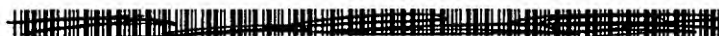
JUL 24 2001

Technology Center 260

Data entry by : TEGBARU, HAIMANOT

Team : OIPE

Date: 04/02/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Commissioner for Patents
Washington, D.C. 20231

Date 11 / 17 / 00
Mo. Day Yr.
Atty. Docket 35.C14930

RECEIVED

JUL 24 2001

Technology Center 2600

Sir:

Kindly acknowledge receipt of the accompanying:

- ☒ Specifications, claims and abstract 71 pages, with Transmittal Form
☒ Patent Application Bibliographic Data Sheet 1 sheet
☐ Executed Oath or Declaration and Power of Attorney
☒ 15 Sheets of 1 formal 1 informal drawings
☒ Check for \$ 1,168.00 (filing fee)
☐ Request for Continued Examination and Check for \$ _____
☐ Assignment, PTO-1595 and Check for \$ _____
☐ Transmittal Under 37 CFR 1.53(d) (CPA)
☐ Petition under 37 CFR 1.136 and check for \$ _____
☐ Other (specify) _____

by placing your receiving date stamp hereon and mailing or returning to deliverer.

This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-Part 37 CFR 1.8 ☐
Atty. JOB/AMV Due Date 11 / 19 / 00 37 CFR 1.10 ☐
Mo. Day Yr. By Hand ☒



FORM-A-00

INVENTOR INFORMATION

Inventor One Given Name: Shingo
Family Name: HAYAKAWA
Postal Address Line One: 14-11, Utsukushigaoka Nishi 2-chome,
Postal Address Line Two: Aoba-ku
City of Residence: Yokohama-shi
State or Province of Residence: Kanagawa-ken
Country of Residence: Japan
Citizenship Country: Japan

CORRESPONDENCE INFORMATION

Correspondence Customer Number: 05514
Fax: (212) 218-2200

APPLICATION INFORMATION

Title Line One: OPTICAL LOW-PASS FILTER, AND IMAGE SENSING UNIT
Title Line Two: AND APPARATUS USING THE SAME

Total Drawing Sheets: 15
Formal Drawings?: Yes
Application Type: Utility
Docket Number: 35.C14930
Secrecy Order in Parent Appl.?: No

REPRESENTATIVE INFORMATION

Representative Customer Number: 5514

PRIOR FOREIGN APPLICATIONS

Foreign Application One: 11-330178
Filing Date: 11-19-1999
Country: JAPAN
Priority Claimed: Yes

Foreign Application Two: 2000-150453
Filing Date: 05-22-2000
Country: JAPAN
Priority Claimed: Yes



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

Page 1 of 1

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 5165

SERIAL NUMBER 09/714,266	FILING DATE 11/17/2000 RULE	CLASS 348	GROUP ART UNIT 2812 2872	ATTORNEY DOCKET NO. 35.C14930
APPLICANTS Shingo Hayakawa, Yokohama-shi, JAPAN; ** CONTINUING DATA ***** None etc ** FOREIGN APPLICATIONS ***** JAPAN 11-330178 11/19/1999 ✓ JAPAN 2000-150453 05/22/2000 ✓ IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/29/2001				
Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>William H. Curtis</i> Acknowledged Examiner's Signature Initials		STATE OR COUNTRY JAPAN	SHEETS DRAWING 15	TOTAL CLAIMS 41
INDEPENDENT CLAIMS 3				
ADDRESS 05514				
TITLE Optical low-pass filter, and image sensing unit and apparatus using the same				
FILING FEE RECEIVED 1218	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	